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PATENT
U.S. Patent & Trademark Office

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Docket No. 3068B/R

10
0997 U.S. PTO
09/09/2001
06/26/01
A

Patent Application
Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

37 CFR 1.53(b)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on June 26, 2001 as "Express Mail Post Office to Addressee" Mailing Label No. E903244885US in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, DC 20231.

Sheila E. Kelly

(Name of person mailing paper)

Sheila E. Kelly

(Signature of person mailing paper)

Inventor(s) (or application identifier): Brian B. Filippini, John W. Forsberg, Thomas F. Steckel, David J. Moreton, and Rodney J. McAtee

Title: AMINO ALKYLPHENOL EMULSIFIERS FOR AN AQUEOUS HYDROCARBON FUEL

Type of Application

Original

Continuation

Divisional

Continuation-in-part (CIP)

Fee Calculation (37 CFR 1.16)

CLAIMS AS FILED				
	Number Filed	Number Extra	Rate	Basic Fee
	37 CFR 1.16(a) \$710.00			
Total				
Claims (37 CFR 1.16(c))	22 -20=	2 X	\$18.00	36.00
Independent				
Claims (37 CFR 1.16(b))	3 - 3=	X	\$78.00	-0-
Multiple dependent claim(s), if any				
(37 CFR 1.16(d))			\$260.00	-0-

Amendment canceling extra claims enclosed.

3. Fee Payment Being Made and Authorization to Charge Fees

basic filing fee \$746.00

recording assignment (\$40.00; 37 CFR 1.21(h)) \$_____

Charge Account No. 12-2275 in the amount of \$746.00. A duplicate of this transmittal is attached.

4. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) Application

29 Pages of specification (excl. claims)
5 Pages of claims

1 Pages of Abstract
0 Sheets of drawing

5. Additional papers enclosed

() Preliminary Amendment
() Information Disclosure Statement (37 CFR 1.98)
() FORM PTO-1449
() Cited References
() Other
(X) Return postcard receipt
() Incorporation by reference statement of prev. appln.

6. Declaration or oath

() Newly executed and enclosed (original or copy) Unexecuted and enclosed (for identification) Copy from prior application enclosed (for continuation/divisional)

7. Assignment

() An assignment of the invention to The Lubrizol Corporation, a corporation of the state of Ohio, 29400 Lakeland Boulevard, Wickliffe, Ohio 44092, and a separate "Cover Sheet for Assignment (Document) Accompanying New Patent Application," are attached.

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-2275:

37 CFR 1.16(a)-(e) 37 CFR 1.17 (application processing fees)
 charge any deficiency in the above fee or credit any overpayment to Account No. 12-2275

Total fees enclosed \$746.00

8. Benefit of Prior U.S. Application(s) (35 USC 120)

(X) The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed find an additional 1 Added Page For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

() Plus an Additional Added Pages For Papers Referred To In Item 5 Above

() This transmittal ends with this page.

ADDED PAGE FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION(S) CLAIMED

9. Relate Back - 35 U.S.C. 120
"This is a continuation continuation-in-part divisional of copending application(s)
 Serial No. 09/483,481, which is a continuation-in-part of Serial No. 09/390,925, which is a
continuation-in-part of Serial No. 09/349,268, which is a continuation-in-part of Serial No. 09/755,577.

10. Maintenance of Copendency of Prior Application
 Extension of time in prior application
 A petition, fee and response extends the term in the pending prior application until _____
 A copy of the petition filed in prior application is attached

11. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

(complete applicable item (a) or (b) below)

(a) This application discloses and claims only subject matter disclosed in the prior application
whose particulars are set out above and the inventor(s) in this application are

the same

less than those named in the prior application and it is requested that the following inventor(s)
identified for the prior application be deleted:

(type name(s) of inventor(s) to be deleted)

(b) This application discloses and claims additional disclosure by amendment and a new
declaration or oath is being filed. With respect to the prior application the inventor(s) in this
application are

the same

the following additional inventors have been added: John W. Forsberg, Thomas F. Steckel,
David J. Moreton, and Rodney J. McAfee

William D. Abraham, Daniel T. Daly, Harshida C. Dave, Jennifer N. Fakult, Robert T. Graf, Deborah A. Langer, John J. Mullay, Elizabeth A. Schiferl, Morris Smith, and David L. Westfall

(type name(s) of inventor(s) to be deleted)

12. Abandonment of Prior Application (If applicable)

Please abandon the prior application at a time while the prior application is pending or when the
petition for extension of time or to revive in that application is granted and when this application is
granted a filing date so as to make this application copending with said prior application.

13. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment

There is provided herewith a Petition to Suspend Prosecution for the Time Necessary to File An
Amendment (New Application Filed Concurrently)



Signature of Attorney/Agent

Teresan W. Gilbert

Type or print name of attorney/agent

The Lubrizol Corporation
29400 Lakeland Boulevard
Wickliffe, OH 44092-2298

(Application Transmittal (4-1)-page 3 of 3)(1-99)

Reg. No. 31,360

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